

Political Science 186
Regulation: A Political Perspective
Summer 2017, Session 2¹ (course cancelled)

Instructor: Erinn Lauterbach

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Office Hours:

Email:

Course Info: MTWR 8:10-9:30am, OLMH 1116 (Olmstead Hall)

Teaching Assistant:

Office:

Office Hours:

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Section Info:

Course Description:

Every day the American public demands that the (federal) government pursue different types of policies, however laws that Congress and the Executive write and pass are not self-implementing. As a result, Congress has established numerous government agencies, boards, commissions, and even cabinet level departments, to see that the laws of the nation are interpreted, implemented, and enforced. This course examines the role of the federal bureaucracy in the regulatory process with a focus on the rulemaking process. The regulatory process is a political process that involves all three branches of the government, individual regulatory agencies, the public, interest groups, corporations, and other parties. In this course we will be emphasizing how government agencies carry out their charge to implement the nations laws but we will also touch on issues related to regulation including, but not limited to, who are the regulators, what are the costs associated with regulation, the impact of interest groups on regulation, and the future of regulation.

Course Objectives:

- Explain the foundation, history and organization of the Federal Bureaucracy
- An in-depth examination of the process of rulemaking
- Examine the management and oversight of rulemaking
- Explore the purposes and patterns of participation in the rulemaking process.
- Analyze and critique the issue of rulemaking by regulatory agencies
- Examine the role of the various political actors and regulatory process, as a political process.

Teaching Philosophy

I begin with the premise that each student enrolled in this course is highly intelligent and capable therefore I have high expectations for both your academic commitment and personal behavior. You are responsible for your success in this course and the course is designed such that if you work hard you will do quite well. This course has all the ingredients necessary to engage and challenge you.

This course provides high value added. While I will discuss the readings in class to an extent, lectures are meant to compliment the reading not to simply go over them. Instead, the readings will serve as a point of departure for the lectures, most lectures will involve information not contained in the readings.

I expect students to refrain from engaging in any distracting or disruptive behavior such as, but not limited to, using cell phones, texting, surfing the web, taking pictures, and talking to classmates. I will not tolerate any disruptive behavior and will refer egregious cases to Student Judicial Affairs.

¹ **Disclaimer:** The instructor reserves the right to alter the contents, requirements, grading and/or scheduling as she sees fit in order to best fulfill the objectives of the course. Any changes in the syllabus will be announced in class.

Required Texts²:

The following are sources of required reading for this course:

- 1) Kerwin, Cornelius M. & Scott R. Furlong. 2011. *Rulemaking: How Government Agencies Write Law and Make Policy*. 4th Ed. Washington, D.C.: CQ Press.
- 2) Skrzycki, Cindy. 2003. *The Regulators: Anonymous Power Brokers in American Politics*. New York, NY: Rowman & Littlefield Publishers, Inc.
- 3) Dudley, Susan E., & Brito, Jerry. 2012. *Regulation: A Primer*. 2nd Ed. George Mason University: Mercatus Center.

There will be several copies of each book on reserve in the library. Please use them but be careful with them. Do NOT write in them.

Grading and Course Requirements

First, students must attend lectures and come to class having completed the assigned readings. While attendance in lecture is not recorded, it is required. Please consult the course iLearn website for updates and additional information about assignments.

You are in charge of your own grade. Grades are earned, not given, based on the quality of the product that you turn in.

Students must enroll in discussion section. The TA will serve as your personal instructor for the course. *If you have any questions about the lecture or reading materials, or if scheduling, personal problems, or questions about grading arise, please contact your TA immediately.* Ian has full authority to make all decisions. Sections meet weekly and attendance is mandatory. The TA determines your section grade.

Readings:

All of the readings in this syllabus are required. You should read all the assigned material before the class period for which it is assigned and be prepared to discuss it in class. Please note that all information in the readings can appear on the test even if it is not discussed in class.

Reading/Lecture Quizzes (5% each, total 25%)

On Thursday each week there will be an in-class quiz. You may answer multiple choice, true-false, identification, and/or short answer questions that cover course readings and lectures and section from that week. These quizzes replace a typical midterm exam. All quizzes must be taken at the scheduled time. If you are unable to take the quiz during its scheduled time, please contact the instructor and your TA *in advance* to schedule a make-up. If your absence is unexpected (e.g., you are sick), please contact us as soon as you realize you will not be able to make it to class.

Final Exam (30%)

The final exam will be cumulative and cover all assigned reading, lecture, and section material. You may answer multiple choice, true-false, identification, and/or short answer/essay questions. Please note that although the final exam is scheduled for two hours, after the first person turns in their exam no new exams will be handed out. You should be on time for the exam even if you think you can complete it in less than the time allotted.

Final Project (25%)

Please see iLearn for instructions on the final project, available by the end of week 1.

This project is due by the end of class on 8/22 and will be considered turned in when both a hard copy and SafeAssign copy are available. Emailed papers will be accepted with prior permission from the TA. Late

² If you purchase a different edition of either of these books the responsibility is on you to make sure the information is the same and the chapters line up with what is assigned in the syllabus. Test questions will be taken from information in the assigned editions.

papers will be assessed penalties as follows: a 10% penalty for each twenty-four hour period after the due date (Example: 10% for the paper being 0-24 hours late, 20% for papers being 24-48 hours late, and so forth). A student's submission of a late paper to SafeAssign will electronically document the paper for time/date purposes. No late papers will be accepted once the final exam has been handed out.

Discussion (20%)

You must attend section regularly and should be prepared to participate. Take advantage of this setting to engage with the course material and ideas therein. Any activities assigned in discussion are included in this 20%.

Plagiarism and Academic Misconduct

This section is taken from the Academic Integrity Brochure for Students (<http://conduct.ucr.edu/>)

“At the University of California, Riverside (UCR) honesty and integrity are fundamental values that guide and inform us as individuals and as a community. The academic culture requires that each student take responsibility for learning and for producing work that reflect their intellectual potential, curiosity, and capability. Students must represent themselves truthfully, claim only work that is their own, acknowledge their use of others' words, research results, and ideas, using the methods accepted by the appropriate academic disciplines and engage honestly in all academic assignments. Misunderstanding of the appropriate academic conduct will not be accepted as an excuse for academic misconduct. If a student is in doubt about appropriate academic conduct in a particular situation, he or she should consult with the instructor in the course to avoid the serious charge of academic misconduct.”

Plagiarism is the “copying of language, structure, or ideas of another and attributing (explicitly or implicitly) the work to one's own efforts. Plagiarism means using another's work without giving credit. Examples include but are not limited to:

- Copying information from computer-based sources, i.e., the Internet
- Allowing another person to substantially alter or revise your work and submitting it entirely as your own.”

Other forms of academic dishonesty include cheating by “copying from another student's examination, quiz,... or homework assignment.” Note that the definition of cheating also includes “submitting for academic advancement an item of academic work that you have previously submitted for academic advancement” without prior authorization from the faculty member supervising the work. “Unauthorized collaboration” is also considered inappropriate.

If I suspect you have committed an act of academic misconduct, I will discuss it with you and file a report with the Student Conduct & Academic Integrity Program (SCAIP). You may receive a zero on the assignment and an “F” for the course. Further disciplinary action may also be taken by SCAIP. If you ever have a question about plagiarism or other academic conduct, please ask me *before* you turn in any work that may be problematic.

Students with Disabilities:

If you have a physical, psychiatric, emotional, medical, or learning disability that may impact your ability to carry out assigned coursework, I urge you to contact the staff in Student Special Services (<http://specialservices.ucr.edu/>), who will review your concerns and determine, with you, what accommodations are necessary and appropriate. All information and documentation are confidential.

Course Reading (from assigned books), Assignment, and Assessment Schedule:

<u>Week</u>	<u>Dates</u>	<u>Topics & Important Dates</u>	<u>Readings</u>
Week 1	8/7-10	Introduction: Course Overview and Introductions, Federalism and the Bureaucracy. <u>**You do not need to read anything by 8/7 (but you can start!)</u> Reading/Lecture Quiz: 8/10	D&B Chapters 1-3, 5 *Additional Readings posted on iLearn
Week 2	8/14-17	The Substance of Rules The Reason for Rulemaking The Process of Rulemaking Reading/Lecture Quiz: 8/17	K&F, Chapter 1-2 D&B: Chapter 4 Section: Skrzycki, Chapter 1
Week 3	8/21-24	Issues and Contradictions The Management of Rulemaking Reading/Lecture Quiz: 8/24	K&F, Chapters 3-4 D&B, Chapter 6-8 Section: Skrzycki, Chapter 2 *Additional Readings posted on iLearn
Week 4	8/28-31	Participation in Rulemaking Oversight of Rulemaking Reading/Lecture Quiz: 8/31	K&F, Chapters 5-6 Section: Skrzycki, Chapter 3 *Additional Readings posted on iLearn
Week 5	9/4-7	Rulemaking: Theories and Reform Proposals In Class Presentations 9/4-5 Term Paper Due: 9/6 Reading/Lecture Quiz: 9/7	K&F Chapter 7 Section: Skrzycki, Chapter 4
Final Exam	9/8	Final Exam	Cumulative Exam